

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/921,002	08/02/2001	Martin Huonker	HOE-640

CONFIRMATION NO. 5825

20028
 LAW OFFICE OF BARRY R LIPSITZ
 755 MAIN STREET
 MONROE, CT 06468

FORMALITIES LETTER



OC000000006821609

Date Mailed: 10/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$432.
 - \$162 for 9 total claims over 20.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1272.

*A copy of this notice **MUST** be returned with the reply.*

mTB

Customer Service Center

Initial Patent Examination Division (703) 308-1202

11/29/2001 GTEFFERA 00000097 09921002 PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:101	740.00 OP
02 FC:103	144.00 OP
03 FC:105	130.00 OP



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Huonker et al.)
Application No.: 09/921,002)
Filed: August 2, 2001)
For: LASER AMPLIFYING SYSTEM)

CERTIFICATE OF MAILING

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231 on November 26, 2001.

By: Carol Prentice
Carol Prentice

**SUBMISSION OF MISSING PARTS OF
APPLICATION UNDER 37 C.F.R. 1.53**

Dear Sir:

Enclosed are the following items for filing in the above-referenced U.S. patent application:

- [x] A check in the amount of \$1,054 to cover the total filing fee (\$884); the surcharge set forth in 37 C.F.R. 1.16(e) (\$130); and the Assignment recordal fee (\$40);
- [x] **Prior to the calculation of the filing fee, please enter the Preliminary Amendment filed herewith.**

Basic Fee -							\$ 740.00
Multiple Dependent Claims (270.00)							
Foreign Language Surcharge (\$130.00)							
	For	No. Filed	-	No. Extra	-	Rate	
EXTRA CLAIMS	Total Claims	28	20	8	x	\$ 18.	= \$ 144.00
	Independent Claims	1	3	0	x	\$ 84.	= \$ 0
TOTAL FILING FEE							= \$ 884.00

- [x] Return receipt postage prepaid postcard;

- Formalities Letter (Part 2) mailed October 1, 2001;
- Declaration and Power of Attorney, signed by the Applicants on August 27, 2001;
- Assignment executed on August 27, 2001, together with separate transmittal form PTO-1595
 - Please include assignee information when this application is published

Assignee: **Haas-Laser GmbH & Co. KG**
Aichhalder Strasse 39
78713 Schramberg, Germany

- Preliminary Amendment;
- Updated Patent Application Data Information Sheet;
- Information Disclosure Statement, together with Form PTO-1449 and copies of the cited documents;
- Request for Corrected Filing Receipt, with attachments.

Having now complied with all of the requirements of 37 C.F.R. Section 1.53, Applicants respectfully request that this application be placed upon the files for examination.

The Commissioner is hereby authorized to charge any deficiency in the payment of the required fee(s) or credit any overpayment to Deposit Account No. 50-0625.

Respectfully submitted,

Date: November 26, 2001
ATTORNEY DOCKET NO.: HOE-640



Barry R. Lipsitz
Attorney for Applicant(s)
Registration No. 28,637
755 Main Street, Bldg. 8
Monroe, CT 06468
(203) 459-0200



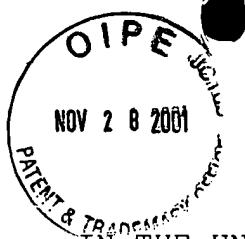
Date of Mailing: November 26, 2001
Attorney Docket No.: HOE-640
Serial No.: 09/921,002
Filing Date: August 2, 2001

Note re: Certificate of Mailing

Although the Certificate(s) of Mailing (37 C.F.R. §1.8) contained in the attached papers indicate an address of Commissioner for Patents, Washington, D.C. 20231, the package containing the papers has been addressed to:

U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

in accordance with instructions received from personnel at the U.S. Patent and Trademark Office help line.



Receipt

P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Huonker et al.)
Application No.: 09/921,002)
Filed: August 2, 2001)
For: **LASER AMPLIFYING SYSTEM** ,)

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231 on November 26, 2001.

By: Carol Prentice
Carol Prentice

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

Applicants received an official Filing Receipt for the patent application referenced above.

Applicants would like the following two (2) correction(s) to be made on the Filing Receipt:

(1) Correct the city of residence for Applicant Huonker to read:

-- Zimmern --

(2) Correct the city of residence for Applicant Schmitz to read:

-- Dunningen --

Application No.: 09/921,002
Page 2



The following documents are enclosed herewith:

- Copy of the Declaration and Power of Attorney form, mailed to the U.S. Patent and Trademark Office on November 26, 2001, noting the requested changes.
- Copy of the Filing Receipt, with the requested correction(s) noted thereon.

Applicants respectfully request that the official records for the above application be changed to reflect the above correction(s), and that a corrected Filing Receipt be issued.

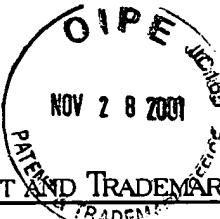
Respectfully submitted,

Date: November 26, 2001
ATTORNEY DOCKET NO.: HOE-640


Barry R. Lipsitz
Attorney for Applicant(s)
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755 Main Street, Bldg. 8
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/921,002	08/02/2001	2881	0.00	HOE-640	6	28	1

CONFIRMATION NO. 5825

20028

LAW OFFICE OF BARRY R LIPSITZ
755 MAIN STREET
MONROE, CT 06468

FILING RECEIPT



OC00000006821608

Date Mailed: 10/01/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Martin Huonker, ~~Rottweil~~, GERMANY; Zimmern
Christian Schmitz, ~~Horb~~, GERMANY; Dunningen
Andreas Voss, Schramberg, GERMANY;

Domestic Priority data as claimed by applicant

Foreign Applications

GERMANY 100 38 006.9 08/04/2000

If Required, Foreign Filing License Granted 10/01/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Laser amplifying system

Preliminary Class

372



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).